

## Office Action Summary

## Application No.

09/751,121

## Applicant(s)

ABENDROTH, JOHN C.

## Examiner

Ella Colbert

## Art Unit

3624

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 19 August 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 32-66 is/are pending in the application.
- 4a) Of the above claim(s) 37-66 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 32-36 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

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6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 32-36 are rejected under 35 U.S.C. 103(a) as being unpatentable over (US 6,035,289) Chou et al, hereafter Chou in view of (US 6,064,981) Barni et al, hereafter Barni.

As per claim 32. Chou teaches, A freight management method for arranging for shipment of a load from a shipper by a carrier, based on shipping data and carrier data supplied by the shipper and the carrier, respectively, the method comprising: inputting into the central processing system a request for bids from the shipper for shipping the load (col.6, lines 34-51); electronically transmitting an invitation to bid for shipping the load from the central processing system to a plurality of carriers (col. 5, line 31- col. 6, line 21); and electronically receiving bids at the central processing system from at least some of the carriers for shipping the load (col. 10, line 53- col. 11, line 64).

Chou failed to teach, electronically transmitting to the shipper a bid report with bids received from at least some of the carriers receiving the invitation to bids; and electronically receiving from the shipper a bid selection of at least one of the bids. Barni teaches, electronically transmitting to the shipper a bid report with bids received from at least some of the carriers receiving the invitation to bids; and electronically receiving from the shipper a bid selection of at least one of the bids (col. 4, lines 15-67 and col. 5, lines 51-67). It would have been obvious to one having ordinary skill in the art at the

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time the invention was made to electronically transmit to the shipper a bid report with bids received from at least some of the carriers receiving the invitation to bids; and electronically receiving from the shipper a bid selection of at least one of the bids and to combine Chou's shipping and carrier data with Barni's bids received from at least some of the carriers receiving the invitation to bids; and electronically receiving from the shipper a bid selection of at least one of the bids because such a combination would allow Chou to allow customers the opportunity to evaluate competitive prices in one consolidated location instead of having to navigate to individual company web sites.

As per claim 33. Chou failed to teach, The method of claim 32, further comprising the step of responding to the bid selection by electronically transmitting a name and a phone number of the corresponding one of the carriers to the shipper. Barni teaches, responding to the bid selection by electronically transmitting a name and a phone number of the corresponding one of the carriers to the shipper (col. 6, line 33-col. 7, line 54 and fig. 3). It would have been obvious to one having ordinary skill in the art at the time the invention was made to respond to the bid selection by electronically transmitting a name and a phone number of the corresponding one of the carriers to the shipper and to combine Chou's bid records, shippers, and carriers with Barni's responding to the bid selection by electronically transmitting a name and a phone number of the corresponding one of the carriers to the shipper because such a combination would allow Chou to navigate to a page where customer bids are posted with the name and phone number of one of the carriers and customer's.

As per claim 34. Chou teaches, The method of claim 32, wherein the invitation to bid is first transmitted from the central processing system to a third party, who then communicates the invitation to bid to a plurality of carriers in locations remote from the central processing system; and wherein the bids for at least some of the carriers are electronically received at the central processing system from the third party (col. 1, line 59-col. 2, line 24).

As per claim 35. Chou teaches, The method claim 32, wherein the step of electronically transmitting an invitation to bid further includes electronically transmitting invitations for bids for a plurality of loads to an individual carrier (col. 2, line 59- col. 4, line 25)..

As per claim 36. Chou failed to teach, The method of claim 32, wherein the step of electronically transmitting to the shipper a plurality of bids further includes electronically transmitting to the shipper bids for a plurality of loads from a plurality of carriers. Barni teaches, electronically transmitting to the shipper a plurality of bids further includes electronically transmitting to the shipper bids for a plurality of loads from a plurality of carriers (col. 5, line 16- col. 6, line 32 and fig.'s 4, 6, 8, and 11). It would have been obvious to one having ordinary skill in the art at the time the invention was made to electronically transmit to the shipper a plurality of bids for a plurality of loads from a plurality of carriers. Barni teaches, electronically transmitting to the shipper a plurality of bids further includes electronically transmitting to the shipper bids for a plurality of loads from a plurality of carriers and to combine Chou's bidding, shippers, and carriers with Barni's electronically transmitting to the shipper a plurality of bids

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further includes electronically transmitting to the shipper bids for a plurality of loads from a plurality of carriers because such a combination would allow Chou to provide an improved online business wherein customers can obtain cargo rates from one or more freight forwarders and/or carriers and negotiate shipping routes and pricing with freight forwarders and carriers.

### ***Conclusion***

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Hunt et al (US 5,835,716) disclosed brokering excess carrier capacity.

Carroll et al (US 5,337,246) disclosed rating adjustment for a particular transaction and user.

Hafner et al (US 5,893,076) disclosed a supplier driven commerce transaction system.

Altendahl et al (US 6,571,213) disclosed routing a load of one or more shipments of parcels.

Schubert et al (WO 02/03287) disclosed a reverse auction system and method for electronic commerce.

### ***Inquiries***

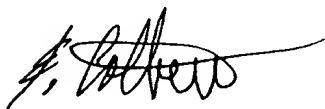
9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ella Colbert whose telephone number is 703-308-7064.

The examiner can normally be reached on Monday-Thursday.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vincent Millin can be reached on 703-308-1038. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



E. Colbert  
November 13, 2004



# Notice of References Cited

Application/Control No.

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Applicant(s)/Patent Under  
Reexamination  
ABENDROTH, JOHN C.

Examiner

Ella Colbert

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## U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,064,981	05-2000	Barni et al.	705/26
	B	US-5,835,716	11-1998	Hunt et al.	709/213
	C	US-5,337,246	08-1994	Carroll et al.	705/402
	D	US-5,893,076	04-1999	Hafner et al.	705/28
	E	US-6,571,213	05-2003	Altendahl et al.	705/1
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	WO 02/03287	10-2000	WIPO	Schubert et al	G06F 17/60
	O					
	P					
	Q					
	R					
	S					
	T					

## NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.